

Import LC Closure User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import LC Closure User Guide
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Contents

1. Preface	1-1
1.1 Introduction.....	1-1
1.2 Audience.....	1-1
1.3 Documentation Accessibility.....	1-1
1.4 Organization	1-1
1.5 Related Documents	1-1
1.6 Diversity and Inclusion.....	1-1
1.7 Conventions.....	1-2
1.8 Screenshot Disclaimer.....	1-2
1.9 Glossary of Icons.....	1-2
2. Oracle Banking Trade Finance Process Management	2-3
2.1 Overview.....	2-3
2.2 Benefits.....	2-3
2.3 Key Features	2-3
3. Import LC Closure	3-1
3.1 Common Initiation Stage	3-1
3.2 Registration	3-2
3.2.1 <i>Application Details</i>	3-4
3.2.2 <i>LC Details</i>	3-5
3.2.3 <i>Miscellaneous</i>	3-7
3.2.4 <i>Document Linkage</i>	3-8
3.3 Data Enrichment.....	3-12
3.3.1 <i>Main Details</i>	3-15
3.3.2 <i>Advices</i>	3-17
3.3.3 <i>Additional Details</i>	3-21
3.3.4 <i>Settlement Details</i>	3-36
3.3.5 <i>Summary</i>	3-37
3.4 Multi Level Approval	3-39
3.4.1 <i>Summary</i>	3-40
3.5 Reject Approval	3-41
3.5.1 <i>Summary</i>	3-41
3.5.2 <i>Action Buttons</i>	3-42

1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Import LC CLOSURE process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:





Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Import LC Closure

This process handles the Import LC Closure request.

This section contains the following topics:

3.1 Common Initiation Stage	3.2 Registration
3.3 Data Enrichment	3.4 Multi Level Approval
3.5 Reject Approval	

3.1 Common Initiation Stage

The user can initiate the new Import LC Closure from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

ORACLE
Initiate Task
Registration
Process Name: Import LC Closure
Documentary Credit Number *
Branch * PK2-FLEXCUBE UNIVERSAL BANK
Proceed Clear

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Documentary Credit Number	Select the Documentary Credit Number.
Branch	Select the branch.

3.1.0.1 Action Buttons

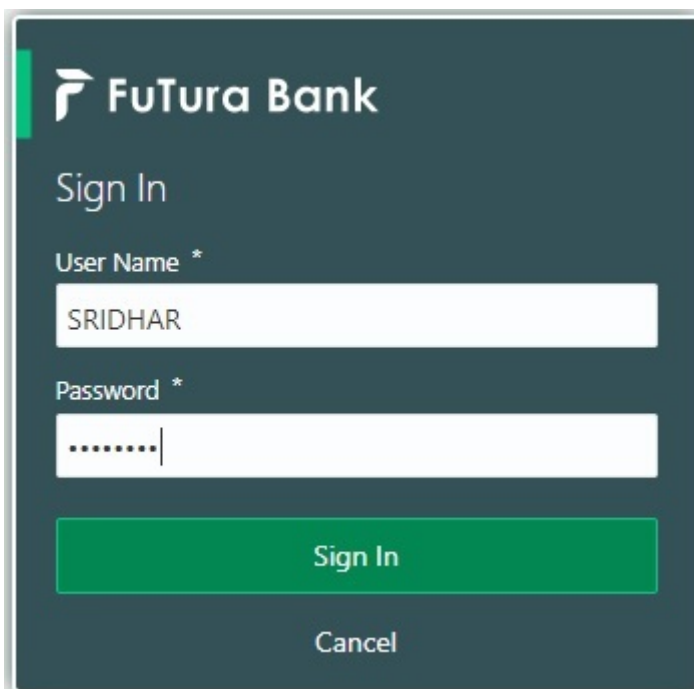
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

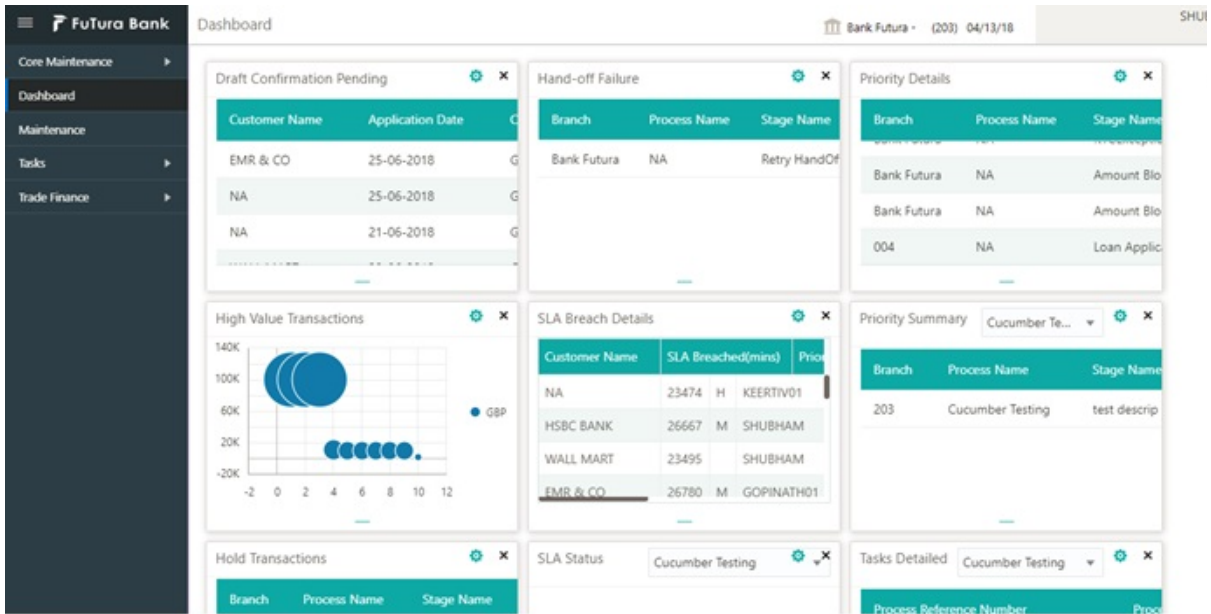
3.2 Registration

The Import LC Closure request received will be initiated in the Registration Stage.

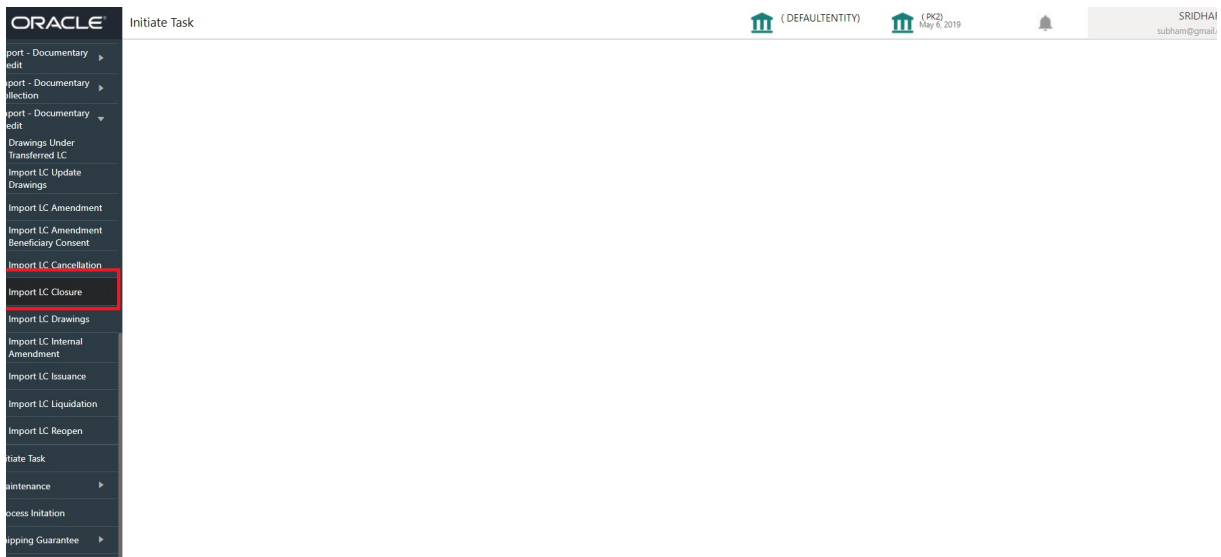
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click Trade Finance> Import Documentary Credits> Import LC Closure.



The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

3.2.1 Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV. In LOV search/advanced LOV search, user can input Customer ID, Beneficiary, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be closed.	
Customer ID	Read only field. Customer ID will be auto-populated based on the selected LC.	001344
Customer Name	Read only field. Customer Name will be auto-populated based on the selected LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected LC.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Import LC Closure request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Fax- Request received through Fax Email- Request received through Email Courier- Request received through Courier	Desk
Transaction Date	By default, the application will display branch's current date. Note Future date selection is not allowed.	04/13/2018
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/ applicant bank. Enables the user to provide a unique Customer Reference Number for the closure.	
User Reference Number	Read only field. User Reference Number will be auto populated by the system based on selected LC.	

3.2.2 LC Details

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based selected LC using documentary credit number.	
Product Code	Read only field. This field displays the product code of the selected LC.	

Field	Description	Sample Values
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	Read only field. This field displays the advising bank details (if provided) of the selected LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	Read only field. This field displays the rules of the selected LC.	
Date Of Expiry	Read only field. This field displays the expiry date of the selected LC.	09/30/18
Place of Expiry	Read only field. This field displays the place of expiry of the selected LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected LC.	
Beneficiary	Read only field. This field displays the beneficiary details of the selected LC.	
Currency Code, Amount	Read only field. This field displays the value of LC along with the currency details of the selected LC.	
Amount In Local Currency	Read only field. This field displays the amount of LC along in local currency.	
Percentage Credit Amount Tolerance	Read only field. This field displays the percentage credit amount tolerance details of the selected LC.	

Field	Description	Sample Values
Additional Amount Covered	Read only field. This field displays the details of additional amount covered of the selected LC.	
Shipping Guarantee Reference	Read only field. This field displays the details of additional amount covered of the selected LC.	
Auto Close	Read only field. System default the value from the previous versions of the contracts.	
Closure Date	Read only field. System default the value from the previous versions of the contracts.	

3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Documents	Upload the documents received under the Documentary Collection.	

Field	Description	Sample Values
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.	
View LC	Enables user to view the details of the LC.	
Action Buttons		
Submit	On submit, task will move to next logical stage of Import LC Closure. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import LC Closure. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

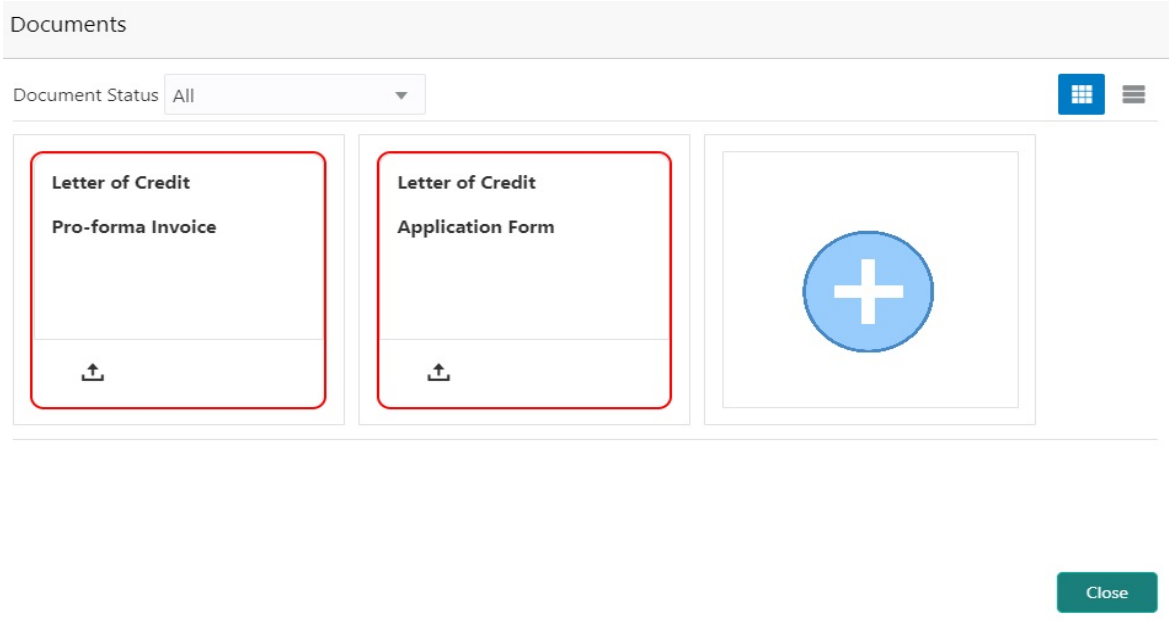
3.2.4 Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	

Field	Description	Sample Values
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id *	Document Id
032204	<input type="text"/>
Document Type *	Document Code *
<input type="text"/>	<input type="text"/>

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1 (0 of 0 items) < > 1 > >

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta-data.	

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta-data.	
Document Code	This field displays the document code from meta-data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *
032204

Document Type *
Documentary Collection

Document Id

Document Code *
Insurance Policy

[Fetch](#)

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items) [K](#) < 1 2 > >

[Close](#)

Post linking the document, the user can View, Edit and Download the document.

7. Click **Edit** icon to edit the documents. The Edit Document screen is displayed.

Edit Document

Document Id 2400	Document Title wqwq
Application Reference Number PK2ILCI000019041	Entity Reference Number PK2ILCI000019041
Document Type Id TFPM_DOCTYPE001	Document Description
Remarks	Document Expiry Date Jun 29, 2022

Drop files here or click to select

Current selected files: []

Update Cancel

3.3 Data Enrichment

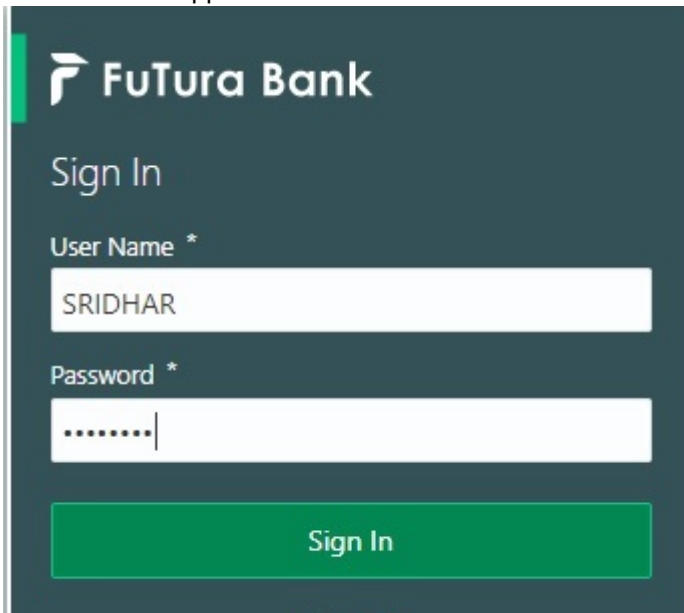
As part of Data Enrichment, user can enter/update details of the Import LC Closure.

Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

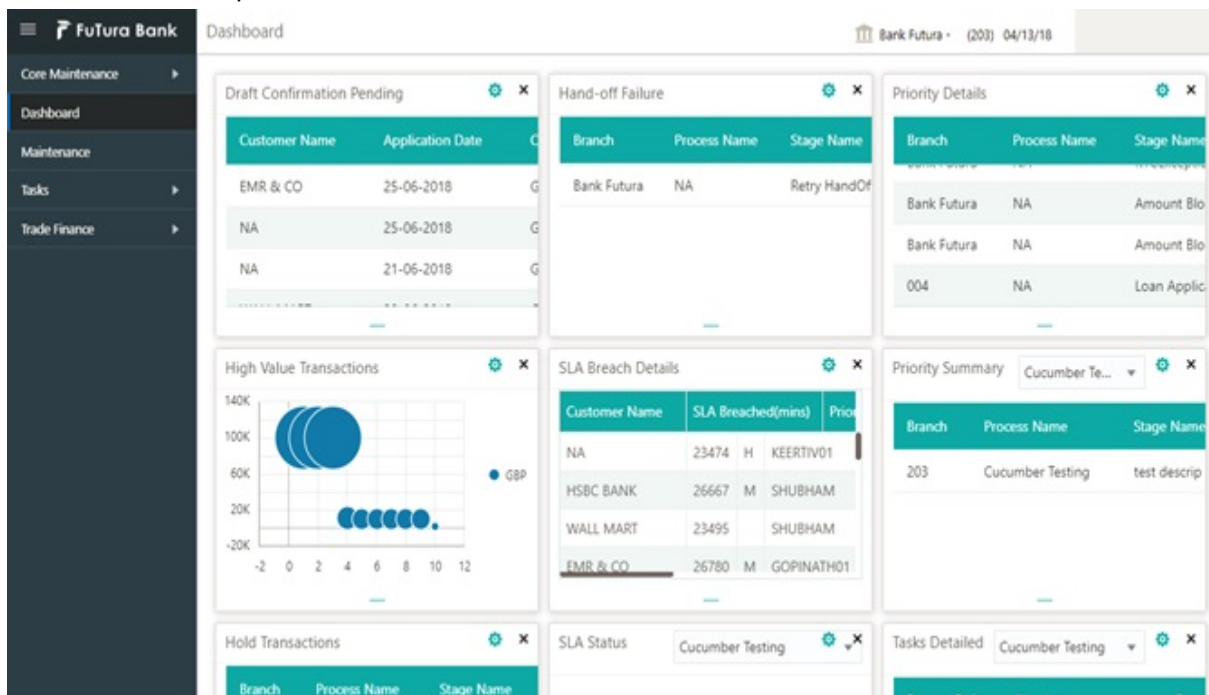
Do the following steps to acquire a task at Data enrichment stage:

- Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the FuTura Bank logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is located at the bottom of the form.

- On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



The image shows the dashboard interface for FuTura Bank. The dashboard is titled "Dashboard" and includes a sidebar with navigation options: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main content area displays several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name" and "Application Date".
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name".
- High Value Transactions:** A bubble chart showing transaction values for different branches.
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority".
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A widget showing "Cucumber Testing" status.
- Tasks Detailed:** A widget showing "Cucumber Testing" status.

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
Acquire & Edit	M	Import LC Closure	300ILCC000031439	300ILCC000031439	DataEnrichment	20-04-25	300
Acquire & Edit		Export LC Amendment	300ELCA000031437	300ELCA000031437	Scrutiny	20-04-25	300
Acquire & Edit	M	Export LC Amendment	300ELCA000031430	300ELCA000031430	Approval Task Level 1		300
Acquire & Edit	M	Import LC Issuance	300ILCI000031420	300ILCI000031420	Scrutiny	20-04-25	300
Acquire & Edit		Import Documentary- B...	300IDCB000030171	300IDCB000030171	Registration	20-04-16	000
Acquire & Edit		Export Documentary Li...	300EDCL000030166	300EDCL000030166	DataEnrichment	20-04-16	300
Acquire & Edit	M	Import Documentary- B...	000IDCB000030162	000IDCB000030162	Approval Task Level 1	70-01-01	300
Acquire & Edit		Export Documentary Li...	300EDCL000030163	300EDCL000030163	DataEnrichment	20-04-16	300
Acquire & Edit	M	Import LC Amendment-...	300ELCA000030160	300ELCA000030160	Approval Task Level 1	70-01-01	300
Acquire & Edit		Export LC Amendment-...	300ELCA000030158	300ELCA000030158	Registration	20-04-16	300
Acquire & Edit	M	Import LC Issuance	300ILCI000030150	300ILCI000030150	Approval Task Level 1	70-01-01	300
Acquire & Edit	M	Import LC Issuance	300ILCI000030153	300ILCI000030153	Registration	70-01-01	000
Acquire & Edit		Import Documentary U...	300IDCU000030152	300IDCU000030152	Registration	20-04-16	300

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
Acquire & Edit		Export LC Amendment	300ELCA000031446	300ELCA000031446	Scrutiny	20-04-25	300
Acquire & Edit	M	Import LC Closure	300ILCC000031439	300ILCC000031439	DataEnrichment	20-04-25	300
Acquire & Edit		Export LC Amendment	300ELCA000031437	300ELCA000031437	Scrutiny	20-04-25	300
Acquire & Edit	M	Export LC Amendment	300ELCA000031430	300ELCA000031430	Approval Task Level 1		300
Acquire & Edit	M	Import LC Issuance	300ILCI000031420	300ILCI000031420	Scrutiny	20-04-25	300
Acquire & Edit		Import Documentary- B...	300IDCB000030171	300IDCB000030171	Registration	20-04-16	000
Acquire & Edit		Export Documentary Li...	300EDCL000030166	300EDCL000030166	DataEnrichment	20-04-16	300
Acquire & Edit	M	Import Documentary- B...	000IDCB000030162	000IDCB000030162	Approval Task Level 1	70-01-01	300
Acquire & Edit		Export Documentary Li...	300EDCL000030163	300EDCL000030163	DataEnrichment	20-04-16	300
Acquire & Edit	M	Import LC Amendment-...	300ELCA000030160	300ELCA000030160	Approval Task Level 1	70-01-01	300
Acquire & Edit		Export LC Amendment-...	300ELCA000030158	300ELCA000030158	Registration	20-04-16	300
Acquire & Edit	M	Import LC Issuance	300ILCI000030150	300ILCI000030150	Approval Task Level 1	70-01-01	300
Acquire & Edit	M	Import LC Issuance	300ILCI000030153	300ILCI000030153	Registration	70-01-01	000

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
Edit	M	Import LC Closure	300ILCC000031439	300ILCC000031439	DataEnrichment	20-04-25	300
Edit	M	Guarantee Advice	300GTEA000031146	300GTEA000031146	DataEnrichment	20-04-22	300
Edit	M	Import Documentary- B...	300IDCB000031142	300IDCB000031142	DataEnrichment	20-04-22	300
Edit		Export Documentary Re...	300EDCR000031090	300EDCR000031090	DataEnrichment	20-04-22	300
Edit		Export Documentary Re...	000EDCR000031087	000EDCR000031087	Registration	20-04-22	000
Edit		Export Documentary Up...	300EDCU000030879	300EDCU000030879	Registration	20-04-21	300
Edit		Export Documentary Li...	300EDCL000030872	300EDCL000030872	Registration	20-04-21	300
Edit		Export Documentary Up...	300EDCU000030868	300EDCU000030868	Registration	20-04-21	300
Edit		Export Documentary Up...	000EDCU000030867	000EDCU000030867	Registration	20-04-21	000
Edit	M	Guarantee Issuance	300GTEI000029484	300GTEI000029484	Registration	70-01-01	000

The Data Enrichment stage has five sections as follows:

- Main Details

- Advices
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields.

3.3.1 Main Details

Main details section has two sub section as follows:

- Application Details
- LC Details

3.3.1.1 Application Details

All fields displayed under Basic details section, would be read only. Refer to [3.2.1 Application Details](#) for more information of the fields.

3.3.1.2 LC Details

The fields listed under this section are same as the fields listed under the [3.2.2 LC Details](#) section in [3.2 Registration](#). Refer to [3.2.2 LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
View LC	Enables user to view the details of the LC.	
View LC Events	Click this button to view all the Undertaking events under the LC Issued till date.	

Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	



3.3.2 Advices

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

DE User can view the Advices generated for Import LC Closure process.

The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Import LC. User can update if required.	
Party ID	Value be defaulted from Import LC. User can update if required.	

Field	Description	Sample Values
Party Name	Read only field. Value be defaulted from Import LC.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User can see the documents uploaded.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Save & Close	<p>Save the information provided and holds the task in for working later.</p> <p>This option will not submit the request.</p>	
Cancel	<p>Cancel the Data Enrichment stage inputs.</p>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Back	<p>Click of Back to move the task to the previous segment.</p>	

3.3.3 Additional Details

3.3.3.1 Limits & Collateral

Limit and Collateral details are Read Only and can not be edited and the value for Outstanding Collateral field should be fetched from back office.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limit & Collateral

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	View
No data to display.										

Cash Collateral Details

Collateral Percentage *

Collateral Currency and amount

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
1	AED	0322040001		100	100		NA

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	View
No data to display.						

Page 1 (0 of 0 items)

Limit Details
✕

<p>Customer Id 001044 <input type="text"/></p> <p>Contribution % * 1.0 <input type="text"/></p> <p>Contribution Currency GBP <input type="text"/></p> <p>Limit/Liability Currency GBP <input type="text"/></p> <p>Limit Check Response Available <input type="text"/></p> <p>Expiry Date <input type="text"/></p> <p>Response Message The Earmark can be performed as the f <input type="text"/></p>	<p>Linkage Type * Facility <input type="text"/></p> <p>Liability Number * PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No * PK2L01SL1 <input type="text"/></p> <p>Limits Description <input type="text"/></p> <p>Amount to Earmark * AED 100,000.00 <input type="text"/></p> <p>Limit Available Amount £999,999,903.89 <input type="text"/></p> <p>ELCM Reference Number <input type="text"/></p>
--	--

Field	Description	Sample Values

Limit Details

Click View link to view limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks View link.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	The linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	The Liability Number mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	

Field	Description	Sample Values
Line ID/Linkage Ref No	The system displays the line Line ID/Linkage Ref No.	
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field.	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Amount to Earmark	Amount to Earmark will default based on the contribution %.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message.	
ELCM Reference Number	This field displays the ELCM reference number.	

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

Total Collateral Amount * <input style="width: 90%;" type="text" value="AED 100.00"/>	Collateral Amount to be Collected * <input style="width: 90%;" type="text"/>
Sequence Number <input style="width: 90%;" type="text" value="1.0"/>	Collateral Split % * <input style="width: 80%;" type="text" value="100.0"/> ▼ ▲
Collateral Contribution Amount * <input style="width: 90%;" type="text" value="AED 100.00"/>	Settlement Account * <input style="width: 90%;" type="text" value="0322040001"/> 🔍
Settlement Account Currency <input style="width: 90%;" type="text" value="AED"/>	Exchange Rate <input style="width: 80%;" type="text" value="1.0"/> ▼ ▲
Contribution Amount in Account Currency <input style="width: 90%;" type="text" value="AED 100.00"/>	Account Available Amount <input style="width: 90%;" type="text"/>
Response <input style="width: 90%;" type="text" value="NA"/>	Response Message <input style="width: 90%;" type="text"/>

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
-------------------------	---	--

Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	The collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	The settlement account for then collateral.	
Settlement Account Currency	The Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Currency	The LC currency will get defaulted in this field.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Cancel	Click to cancel the entry.	
Below fields appear in the Cash Collateral Details grid along with the above fields.		
Collateral%	The percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	

Field	Description	Sample Values
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.


Deposit Linkage Details
✕

Customer Id <input type="text" value="091215"/>	Deposit Account <input type="text" value="PK2CDP1221100002"/>
Deposit Branch <input type="text" value="PK2"/>	Deposit Maturity Date <input type="text"/>
Deposit Available Amount AED <input type="text" value="87,508.00"/>	Deposit Available In Transaction Currency <input type="text"/>
Exchange Rate <input type="text"/>	Linkage Amount(Transaction Currency) * AED <input type="text" value="450.00"/>
Linkage Percentage % * <input type="text" value="45.00"/>	

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Customer ID is defaulted from the system.	
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	

Field	Description	Sample Values
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

Below fields appear in the **Deposit Details** grid along with the above fields.

Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

3.3.3.2 Commission, Charges and Taxes Details

This section displays charge details:

Charge Details

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
No data to display.										

Page 1 (0 of 0 items) < >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items) < >

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Save & Close Cancel

3.3.3.3 Commission Details

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	<p>From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.</p>	
Defer	<p>If this toggle is enabled, charges/commissions has to be deferred and collected at any future step.</p>	
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	<p>Charge party will be 'Applicant' by Default. You can change the value to Beneficiary</p>	
Settlement Account	<p>Details of the Settlement Account.</p>	
Amendable	<p>The value is auto-populated as the commission can be amended or not.</p>	

3.3.3.4 Charges Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this toggle has to be enabled.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this toggle has to be enabled.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

3.3.3.5 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If charges/commission/taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges/commission/taxes have to be deferred and collected at any future step, this toggle has to be enabled.	
Settlement Account	Details of the settlement account.	

3.3.3.6 Linked Loan Details

This user can view the details of linked loan accounts.

Linked Loan Details		
Loan Account	Loan Currency	Loan Amount
No data to display.		

[Close](#)

Provide the finance preference details based on the description in the following table:

Field	Description	Sample Values
Loan Account	The details of the linked loan account.	
Loan Currency	Loan Currency of the linked loan account.	
Loan Amount	Loan amount of the linked loan account.	

3.3.3.7 FX Linkage



This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

- FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

System should default Counter party for FX linkage. However option to be provided to user to select contracts of Accountee, if Accountee is other than counter party.

Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
032FXF2230890501	GBP	AED	AED 149,873,698.50	1.5	AED 27,000.00	AED 2,100.00	Dec 30, 2025	 

Page 1 of 1 (1 of 1 items) < > 1 >

Average FX Rate

Save & Close Cancel

FX Linkage

FX Reference Number *
032FXF2230890501

Contract Amount
AED AED 149,999,998.50

Linkage Amount *
AED AED 27,000.00

FX Amount in Local Currency
GBP £149,999,998.50

FX Delivery Period From

Currency
AED

Available FX Contract Amount
AED AED 149,873,698.50

Rate
1.5

FX Expiry Date
Dec 30, 2025

FX Delivery Period To

Save & Close Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Click + plus icon to add new FX linkage details.		
Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.		
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> ● Counterparty of the FX contract should be the counterparty of the Bill contract. ● Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Currency	This field displays the FX SOLD currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX SOLD currency and Amount.</p> <p>The user can change the currency.</p>	
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the "Available Amount" in FXDLINKG screen in OBTR.</p> <p>Available Amount SOLD currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	

Field	Description	Sample Values
FX Amount in Local Currency	This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount SOLD currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	

3.3.3.8 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables user to view the details of the LC.	
View LC Events	Click this button to view all the Undertaking events under the LC Issued till date.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.4 Settlement Details

System should simulate the settlement details from back office and display the same in this screen.

The screenshot displays the Oracle Banking Trade Finance Settlement Details screen. The interface includes a top navigation bar with 'ORACLE' logo, user information (ZART7, subham@gmail), and application details (Oracle Banking Trade Finan... Aug 3, 2023). Below the navigation bar, there are tabs for Documents, Remarks, Overrides, Customer Instruction, and Signatures. The main content area shows a 'Settlement Details' section with a 'Current Event' checkbox. A table titled 'Settlement Details' is displayed with the following data:

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AILUR_COM1_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	No
AILLUR_COM2_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	No
AILLUR_COMM_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	No
COLLAMT_OSEQ	AED	Debit	0322040001	Air Arabia	AED	No	Yes
COLLAMNDAMTEQ	AED	Debit	0322040001	Air Arabia	AED	No	No
COLLAMT	AED	Debit	0322040001	Air Arabia	AED	No	No
COLLAMTEQ	AED	Debit	0322040001	Air Arabia	AED	No	No
COLLAMT_DECR	AED	Credit	0322040001	Air Arabia	AED	No	No
COLLAMT_INCR	AED	Debit	0322040001	Air Arabia	AED	No	No
COLL_AVALAMTEQ	AED	Credit	0322040001	Air Arabia	AED	No	No

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	

3.3.5 Summary

User can review the summary of details updated in Data Enrichment stage Import LC Closure request.

The screenshot shows the Oracle application interface for an Import LC Closure request. The page is titled "Summary" and displays the following details:

Main Details	Commission, Charges and taxes	Additional Fields	Accounting Details
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2023-08-03 Date of Expiry : 2023-12-09 Place of Expiry : dubai	Charge : Commission : Tax : Block Status : Not Initiated	Click here to view : Additional fields	Event : AccountNumber : Branch :
Settlement Details	Preview Message	Linked Loan Details	FX Linkage
Component : Account Number : Currency :	Language : ENG Preview Message : -	loanAcc : Loan Currency : Loan Amount :	Reference Number : Linkage Amount : Contract Currency :

The interface includes a navigation menu on the left with options like Main Details, Additional Fields, Advices, Additional Details, Settlement Details, and Summary. At the top, there are buttons for Documents, Remarks, Overrides, Customer Instruction, and Signatures. At the bottom, there are buttons for Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.,
- Commission, Charges and taxes - User can view Commission, Charges and taxes details.
- Additional Fields - User can view the details of additional fields.
- Accounting Details - User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Settlement Details - User can view the settlement details
- Preview Message - User can view the preview of the simulated messages to remitting bank.
- Linked Loan Details - User can view the linked loan details.
- FX Linkage - User can view the details of FX Linkage.

3.3.5.1 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables user to view the details of the LC.	
Submit	Task will move to next logical stage of Import LC Closure. If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

3.4 Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.4.1 Summary

Oracle Free Tasks | SRIDH subham@gm | Mar 22, 2019

LC Closure - Approval Task Level 1 :: Application No: PK2ILCC000029474

Details	Limits Details	Party Details	Charge
Type of LC : IRREVOCABLE Issuance Mode : Desk Date of Issue : 2019-03-22 Date of Expiry : 2019-06-20 Location : pune	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 6600.99 Collateral Status : Not Verified	Applicant : GOODCARE PLC Beneficiary : MARKS AND Advising Bank : WELLS FARG	Charge : GBP50 Commission : Tax : GBP5284.79 Block Status : Not Initia

Compliance
KYC Status : Verified Sanctions : Not Initia AML : Not Initia

Buttons: Reject, Hold, Refer, Cancel, Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Charges - User can view charge details.
- Limit Details - User can view limit details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

3.4.1.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

3.5 Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

3.5.1 Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.

- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

3.5.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

A

Additional Details	
Action Buttons	19
Charge Details	16

B

Benefits	4
----------------	---

D

Data Enrichment	11
Additional Details	16
Main Details	13
Summary	20

I

Import LC Closure	5
Data Enrichment	11
Multi Level Approval	21
Registration	5
Reject Approval	22

K

Key Features	4
--------------------	---

M

Main Details	
Action Buttons	15
Application Details	14
LC Details	14
Multi Level Approval	
Summary	21

O

Overview	4
----------------	---

R

Registration	5
Application Details	7
LC Details	8
Miscellaneous	10
Reject Approval	22
Action Buttons	23
Summary	23

S

Summary	
Action buttons	20